



**SPI PROCESS nº 021.00000891/2024-87
INTERNATIONAL COMPETITION No. 02/2024
PPP LOTE ALTO TIETÊ**

EXHIBIT IV – REGULATION OF THE TECHNICAL VISIT AND THE DOCUMENT ROOM

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1. INTRODUCTION AND DEFINITIONS

1.1. This regulation establishes rules for: (i) the performance of TECHNICAL VISITS, with the objective of providing an opportunity for interested BIDDERS to get to know the EXISTING INFRASTRUCTURE; and (ii) access to the DOCUMENT ROOM, which will contain documents related to the concession of the LINES, complementary to those available in the DATA ROOM.

1.2. The defined terms contained in these regulations have the meanings attributed to them in the draft AGREEMENT and in this clause:

DOCUMENT ROOM	Room made available by SPI to the BIDDERS during the INTERNATIONAL COMPETITION, located at Rua Iaiá, 126, Itaim Bibi São Paulo/SP, with information and documents complementary to those contained in the DATA ROOM.
TECHNICAL VISIT	Visit with the objective of enabling the interested party to obtain information and technical subsidies that they deem convenient for the preparation of their COMMERCIAL PROPOSAL.

2. RULES FOR CARRYING OUT TECHNICAL VISITS

2.1. TECHNICAL VISITS are optional, and are intended for the knowledge and verification of the EXISTING INFRASTRUCTURE, which will be assumed by the CONCESSIONAIRE, in the physical and/or operational conditions in which it is, with the objective of carrying out the on-site verification of the conditions, for its own evaluation of the quantity and nature of the works, materials and equipment necessary for the execution of the CONTRACT, form and conditions of supply, means of access to the site and verification of any other data necessary for the proper provision of the SERVICES.

2.2. TECHNICAL VISITS will be free for all interested parties, and will be carried out as long as the rules set forth in this regulation are observed.

2.3. To schedule the TECHNICAL VISITS, the interested party must send an electronic mail to

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the e-mail ppplotealtotiete@sp.gov.br, with the title "*PPP Lote Alto Tietê / Technical Visit*".

2.3.1. The e-mail with the request to schedule the TECHNICAL VISIT must be accompanied by the list of representatives indicated to participate in the TECHNICAL VISIT, with identification of full name and ID.

2.4. The scheduling of TECHNICAL VISITS will be carried out in order of receipt of requests.

2.5. The TECHNICAL VISITS will be carried out until March, 20, 2025, established in the NOTICE. It is recommended that requests for TECHNICAL VISITS be sent by interested parties at least 5 (five) business days in advance of that date.

2.6. As many TECHNICAL VISITS as each interested party deems necessary may be made, always accompanied by representatives of SPI and/or CPTM, when in a place with restricted access to the public.

2.7. The GRANTING AUTHORITY will make available the schedule of the TECHNICAL VISIT within up to 5 (five) business days from the sending of the e-mail with the scheduling request, provided that it is forwarded by 5 pm. Emails sent after 5 p.m. will be deemed to have been received on the next business day.

2.8. Any request for cancellation and/or rescheduling of dates already scheduled for the TECHNICAL VISITS must be: (i) made through the concession e-mail (ppplotealtotiete@sp.gov.br), at least 1 (one) business day in advance; and (ii) communication, by telephone, to the companions of CPTM and SPI designated in the schedule of the TECHNICAL VISIT, referred to in item 2.6.

2.8.1. Rescheduling will be considered as a new request for a TECHNICAL VISIT, and your schedule will be met in the order of existing requests.

2.9. The standard TECHNICAL VISITS will observe the itinerary contained in APPENDIX IV.A of this EXHIBIT.

2.9.1. On the occasion of the request to schedule the TECHNICAL VISIT, the interested

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parties may request that an alternative route be observed to that indicated in APPENDIX IV.A of this EXHIBIT, respecting any technical impossibilities, duly justified by the GRANTING AUTHORITY or by the CPTM.

2.10. During the TECHNICAL VISITS, the use of electronic equipment, such as telephones, computers, cameras and the like, will be allowed, provided that the interested party presents to SPI a signed statement, in accordance with the terms contained in APPENDIX IV.B of this EXHIBIT, indicating that the records and photographs obtained will be used, solely and exclusively, within the scope of the INTERNATIONAL COMPETITION.

2.11. Those indicated by the interested parties to participate in the TECHNICAL VISIT must present themselves at the place, on the date and at the time to be established according to the schedule of the TECHNICAL VISIT.

2.11.1. The participation in the TECHNICAL VISIT will be allowed of as many representatives as the interested party deems necessary, subject to item 2.3.1, respecting any technical impossibilities, duly justified by the GRANTING AUTHORITY or by the CPTM.

2.12. Transportation for the TECHNICAL VISIT must be paid for by each interested party, including the payment of fares for the use of public transportation.

2.13. The interested party must provide their representatives with the necessary PPE to participate in the TECHNICAL VISIT, such as helmets, safety boots and reflective vests, as defined in the schedule of the TECHNICAL VISIT.

2.14. At the end of the TECHNICAL VISIT, the certificate of the TECHNICAL VISIT will be provided to the interested parties, according to the draft contained in EXHIBIT I.J of this PUBLIC NOTICE.

3. RULES FOR ACCESS TO THE DOCUMENT ROOM

3.1. On business days, the DOCUMENT ROOM located at Rua Iaiá, 126, Itaim Bibi - São Paulo/SP, from 9:00 a.m. to 5:00 p.m., will be made available to interested parties for consultation of information and documents complementary to those contained in the DATA ROOM.

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- 3.2. Visitation to the DOCUMENT ROOM is optional.
- 3.3. Access to the DOCUMENT ROOM will be free for all interested parties, provided that the rules set forth in this regulation are observed, and the removal of documents and files therein is prohibited, without prejudice to the provisions of item 3.10 of this EXHIBIT.
- 3.4. To schedule a visit to the DOCUMENT ROOM, the interested party must send an electronic mail to the e-mail ppplotealtotiete@sp.gov.br, with the title "Document Room – Lote Alto Tietê".
- 3.4.1. The e-mail with the request for a visit to the DOCUMENT ROOM must: (i) indicate the representatives of the interested party who will attend the DOCUMENT ROOM; and (ii) include a digital copy of the Confidentiality and Responsibility Agreement, in accordance with APPENDIX IV.C of this EXHIBIT, duly signed by the interested party.
- 3.5. Appointments for visits to the DOCUMENT ROOM will be made in order of receipt of the requests, as per item 3.4
- 3.6. The DOCUMENT ROOM may be visited until the last day prior to the date of submission of the PROPOSALS, established in the NOTICE. It is recommended that requests to visit the DOCUMENT ROOM be sent by interested parties at least 5 (five) business days in advance of that date.
- 3.7. As many visits to the DOCUMENT ROOM as each interested party deems necessary may be made, subject to the provisions of the item above.
- 3.8. The GRANTING AUTHORITY will make available the schedule of the visit to the DOCUMENT ROOM within up to 3 (three) business days from the sending of the e-mail with the scheduling request, provided that it is forwarded by 5 pm. Emails sent after 5 p.m. will be deemed to have been received on the next business day.
- 3.9. Any request for cancellation and/or rescheduling of dates already scheduled for the visit

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to the DOCUMENT ROOM must be made through the concession e-mail (ppplotealtotiete@sp.gov.br), at least 1 (one) business day in advance.

3.9.1. Rescheduling will be considered as a new request for a visit to the DOCUMENT ROOM, and its schedule will be met in the order of existing requests.

3.10. The use of electronic equipment in the DOCUMENT ROOM will be allowed by interested parties, such as telephones, computers, cameras, scanners, electronic media for recording documents, among others.

3.11. Those indicated by the interested parties to visit the DOCUMENT ROOM must present themselves at the place, on the date and at the time to be established in accordance with the schedule of the visit to the DOCUMENT ROOM, with the original copy of the Confidentiality and Responsibility Agreement referred to in item 3.4.1 (APENSO IV.C).

3.11.1. The participation in the visit to the DOCUMENT ROOM will be allowed by as many representatives as the interested party deems necessary, subject to item 3.4.1, respecting any technical impossibilities, duly justified by the GRANTING AUTHORITY.

3.11.2. The representatives of the interested party may stay for up to 4 (four) hours in the DOCUMENT ROOM, per visit.

3.12. Transportation to visit the DOCUMENT ROOM must be paid for by each interested party.

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APPENDIX IV.A – STANDARD TECHNICAL VISIT

OPTIONAL TECHNICAL VISIT SCHEDULE

TECHNICAL VISIT No. (to be completed by CPTM)

Interested Party: [--]

Object of the visit: EXISTING INFRASTRUCTURE of LINES 11, 12 and 13

Meeting point: [--]

Time: [to be agreed between the parties according to the technical visit]

Date: [to be filled in by CPTM]

List of participants: (name and ID)

Name	IDENTIFICATION
[•]	[•]
[•]	[•]
[•]	[•]
[•]	[•]
[•]	[•]

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TECHNICAL VISIT schedule:

Topics	Start/end time
Meet at the Station [--], next to the [--].	08h00
End of the TECHNICAL VISIT.	5:30 p.m.

General information for the day of the TECHNICAL VISIT:

Transportation: The interested party must arrange and pay for the travel of all its representatives during the TECHNICAL VISITS, including the payment of transportation fees (train or other means).

Necessary PPE: To visit the courtyards, interested parties must provide a helmet, safety boots and reflective vest.

Contact on the day of the TECHNICAL VISIT: [to be filled in by CPTM].

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APPENDIX IV.B – DECLARATION OF RECORDS, PHOTOGRAPHS AND THE LIKE

(NAME OF THE COMPANY), through its duly qualified representative(s) and who at the end subscribes to this Declaration of Records, Photographs and Similar, declares that: (i) it is committed to all the records made by its representatives during the TECHNICAL VISIT that, fundamentally, have been necessary, at its discretion, to complement the TECHNICAL VISIT; (ii) undertakes not to disclose the records made during the TECHNICAL VISIT to third parties, in any form, without the express authorization of CPTM; (iii) will maintain confidentiality about any information that it may become aware of as a result of the TECHNICAL VISIT; and (iv) the information obtained in the TECHNICAL VISIT will be solely and exclusively used within the scope of INTERNATIONAL COMPETITION No. 02/2024, whose object is the concession for the provision of public passenger transport service, on rails, of LINES 11, 12 and 13 of the State of São Paulo.

São Paulo, [•] of [•] of 2024/25.

Name of qualified representative

RG nº [•]

CPF/MF under the number [•]

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APPENDIX IV.C – CONFIDENTIALITY AND LIABILITY AGREEMENT

(NAME OF THE COMPANY), through its duly qualified representative(s) and who at the end subscribes to this Confidentiality and Responsibility Agreement, declares that: (i) undertakes not to disclose to third parties, in any form, any information that it may become aware of due to the consultation and/or copying activities carried out during the visit to the DOCUMENT ROOM; (ii) will maintain confidentiality about any information that it may become aware of due to the consultation activities carried out during the visit to the DOCUMENT ROOM, including reproductions made by means of photographs, scanners, electronic media for recordings; and (iii) the information obtained as a result of the consultation activities carried out during the visit to the DOCUMENT ROOM will be solely and exclusively used within the scope of INTERNATIONAL COMPETITION No. 02/2024, whose object is the concession of the provision of the public passenger transport service, on rails, of LINES 11, 12 and 13 of the State of São Paulo.

São Paulo, [•] of [•] of 2024/25.

Name of qualified representative

RG nº [•]

CPF/MF under the number [•]